



Code Of Conduct

(Guidelines/Best Practices for Council Members/Members/LRAs Affiliated to RFI)

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Part – I Code Of Conduct

(Guidelines/Best Practices for Council Members/Members/LRAs Affiliated to RFI)

1. Canvassing:

RFI being a professional Research organization does not encourage members to indulge in canvassing or other such activities. Any kind of canvassing by the candidates contesting the elections will be restricted to a Curriculum Vitae (CV) of 50 words or less which will be circulated by the Secretariat.

2. Code Of Conduct For RFI Members, Council Members And LRFIs Affiliated To RFI:

The Council shall have powers to prescribe codes of conduct for (a) RFI members (b) Council members (c) LRFIs affiliated to RFI which shall be strictly adhered to by them. In case of any contraventions of respective code, the Council shall be the powers to take such disciplinary action as it considers appropriate.





A) Code Of Conduct For RFI President, Officers/Members (Professional Individual / Corporate / Institutional Members)

This document formalizes the generally understood norms of conduct for All Members of All India Management Association (RFI) covering Professional Individual / Corporate / Institutional Members. The Council and membership of the RFI look to all its members to meet these standards and to maintain the reputation of the Association by following this Code of Conduct

The code can be reviewed by the Council.

Enforcement:

All matters relating to complaints or violations of the Code shall be referred to a committee - called Ethics Committee formed by RFI Council.

Standards

- ➤ This Organization aims to promote professional research concept to all over the world. Every member have required to maintain dignity of each/every member or officer related to RFI.
- ➤ Every member is obliged to comply with RFI Code of Conduct for Members, and will also make a genuine attempt to foster the standards laid down in the said document in all dealings.
- ➤ Every member or Officer must be use their Designation of upper official or (President, Head, or Other Designation) with their area., Not by Their Name.
- ➤ No need to say Sorry, Thanx, sending Political Poster, Personal Messages, Morning Evening Messages etc in the Official Social Group. According to Board there must be only informative post must be publish. But If any member want to encourage any officer, Please proceed their Designation Nor Name, Because Every Member have much Knowledge in their respective field. SO that each member can know about the position from the each officer and member from the official website.
- ➤ Every member admitted to any grade of membership shall necessarily fulfill the basic eligibility criterion to become the member of RFI as prescribed in the Memorandum of Association.
- Act at all times in accordance with the provisions of Memorandum of the Association, Rules and Regulations and the Bye-laws of the Association (including this Code of Conduct);
- ➤ Ensure a transparent relationship with RFI, based on trust, respect, responsibility and integrity:
- ➤ Every Member must try to add new members from their active date as much possible.





- ➤ Have pride in their status as an RFI member and in any RFI qualifications they hold and aim to promote its values to others, especially industry bodies, employers and prospective members;
- ➤ Aim to seek opportunities to support RFI / LRFI activities and to provide their expertise and experience in the best interests of the Association and its stakeholders;
- ➤ Treat RFI Office Bearers, Council Members, other members, and RFI employees with the same respect they would wish to be given;
- ➤ Ensure that their membership of the RFI is not publicised in any way that might suggest that they hold a professional qualification, which they are not entitled to;
- ➤ Ensure that any conduct, promotion or public announcement, with which a member, their name or qualifications are connected, does not bring RFI and its stakeholders into disrepute;
- Advise RFI of any members who are not following its rules or this Code, and advise RFI of anyone wrongly representing themselves as a member;
- ➤ It is expected that Members who are elected to the Council of Research of RFI, will make every effort to attend and actively participate in Council and Committee meetings and the AGM.
- ➤ Always act in the interest of the Association and ensure that any other business or personal association which a member may have, does not conflict with those of RFI.
- ➤ Their post is not for any financial benefits from RFI, only its for promotion of research with their innovative concept.
- ➤ When dealing with issues related to regulatory matters and government policies, members should bear in mind the primacy of the Association and the public interest.
- ➤ All decisions taken by the Council by voting majority are final and all members are expected to respect such decision and abide by them.
- ➤ All affiliated member /Officer must be Active and must show their active part for the organization.

Interaction with Media/Government/ Any other agencies

Members shall refrain from public criticism of Council decisions, organizational policies / stands on key issues. Any such comments shall be confined to Council meetings and not at public platforms, and media interactions. Only President /DG are authorized to speak on behalf of RFI.

Unless specifically designated for the purpose, members must not represent themselves as speaking officially on behalf of the Association, and should take utmost care to avoid being placed in a position where they might be misrepresented as speaking for the Association.





Safeguard the confidentiality of all information and avoid unauthorized communication of official information received by them by virtue of their position.

Non Adherence

Any instance of non-adherence to the above Code of Conduct / any other observed unethical behavior on the part of any member should be brought to the attention of the Code Administrator who shall be the Director General and who shall report it to the Committee mentioned in Para 1. The Committee shall after investigation, recommend suitable action to the President who shall bring it before the RFI Council.

Penalty for breach of Code of Conduct

The RFI Council will have the right to take disciplinary action against any member who shall have, in the opinion of the Council, willfully acted in contravention of the Code of conduct, or who in the opinion of the Council, shall have been guilty to such conduct as shall render him/her unfit to remain a member of the Association.

Penalty may include serious disciplinary action, removal or suspension from council as well as other remedies, including recommendations for any of the above penalty, as considered appropriate by the Council under the circumstances. Council's decision shall be final in this respect.

Waivers & Amendments

Any waiver or amendment of any provision of this Code of Conduct can be considered as appropriate from time to time and must be approved in the Council.

b) Code Of Conduct For RFI Council Members

This document formalizes the generally understood norms of conduct for Members of the RFI Council. It applies to all Members of the Council. The code can be reviewed by the Council.

Enforcement:

All matters relating to complaints or violations of the Code shall be referred to a committee, with a term of each 3 month.

Council Decisions





Council Members have the same responsibilities as Board members of companies and are responsible for all decisions when finally taken by the Council.

Members are entitled to revisit decisions of the council for review, but only at meetings of the Council.

Standards

All members of the Council shall conduct themselves with the highest standards of honesty, integrity, fairness and ethics, in all their activities and especially regarding RFI and in their work.

Shall perform their roles with competence, diligence, in good faith and act in the best interests of the Association.

It is expected that Members of the Council, and especially elected Members, will make every effort to attend and actively participate in Council and Committee meetings and the AGM.

Shall provide their expertise and experience in the best interests of the Association and its stakeholders. They should express their views without fear or favour.

Every Advisement will proceed after the proofread and confirmation of the board . Any Officer will not Use their Name in RFI Poster or Advertisement (Image form Only) , They Can use their designations and Official mal id along with their Personal Number.

Give careful and independent consideration to the affairs of the Association and satisfy themselves with the soundness of key decisions taken by the Management based on all documents / information placed before them. They should call for additional information, where necessary, for making such judgments.

Always act in the interest of the Association and ensure that any other business or personal association which a member may have does not conflict with those of RFI.

It is expected that Members shall privately disclose to the President any situation that appears to create a conflict of interest between personal interests and those of the Association and it's stakeholders' interests.

Members shall behave with courtesy and respect to all in Council debates and at Council meetings.



When dealing with issues related to regulatory matters and government policies, members should bear in mind the primacy of the Association and the public interest.

All decisions taken at the meeting of the Council by voting majority are final and all members are expected to respect such decision and abide by them.

Interaction with Media/ Government/Any other agencies

Members shall refrain from public criticism of Council decisions, organizational policies / stands on key issues. Any such comments shall be confined to Council meetings and not at public platforms, and media interactions. Only President /DG are authorized to speak on behalf of RFI.

Members shall refrain from public criticism of Council decisions, organizational policies / stands on key issues. Any such comments shall be confined to Council meetings and not at public platforms, and media interactions.

Unless specifically designated for the purpose, members must not represent themselves as speaking officially on behalf of the Council / Association, and should take utmost care to avoid being placed in a position where they might be misrepresented as speaking for the Council/Association.

Safeguard the confidentiality of all information and avoid unauthorized communication of official information received by them by virtue of their position.

Non Adherence

Any instance of non-adherence to the above Code of Conduct / any other observed unethical behavior on the part of any council member should be brought to the attention of the Code Administrator who shall be the President and who shall report it to the Committee mentioned in Para 1. The Committee shall after investigation, recommend suitable action to the President who shall bring it before the RFI Council.

Penalty for breach of Code of Conduct

Penalty may include serious disciplinary action, removal or suspension from council as well as other remedies, including recommendations for any of the above penalty, as considered appropriate by the Council under the circumstances. Council's decision shall be final in this respect.

Waivers & Amendments

Any waiver or amendment of any provision of this Code of Conduct can be considered as appropriate from time to time and must be approved in the Council.





c) Code Of Conduct For LRAs Affiliated To RFI

All LRAs affiliated to All India Management Association must observe the following Code of Conduct:

- ❖ Shall necessarily be a registered body under the Societies Registration Act in its respective State / Union Territory
- ❖ Have the obligation to conduct themselves in an honest, professional and ethical manner.
- They shall conduct free and fair elections, with provision for postal ballots, annually, in time, and under the supervision of neutral observers.
- Compliance and abide by the Constitution and By-Laws of the Association and RFI-LRA relationship Charter, and all rules promulgated by it.
- Mandatory and timely submissions of all relevant documents and fee (list of members, details of office bearers, Annual Report, audited accounts, etc) as required under the Constitution.
- LRAs or its office bearers shall not unduly exploit their relationship with the Association for commercial purposes, or permit any of its members to do so.
- LRAs are recommended to have an Ethics Committee under the chairmanship of the LRA President, to investigate and rule on violations or unbecoming conduct of Members and office-bearers, and the committee may take appropriate action.
- Members shall have minimum qualifications as specified by RFI.
- LRA must have provisions to guard against capture by way of mass admission of new members.
- ❖ Avoid situation that creates a conflict of interest between LRAs and that of RFI only after agreement and written authorization from RFI, engage in activities that might compete with the activities of RFI.
- LRA representatives on RFI Council should commit themselves to attending Council meetings and AGM, unless prevented by compelling personal or professional reasons.
- ❖ LRA representatives on RFI Council must uphold high standards of courtesy and respect in Council debates and Council meetings.
- ❖ All decisions taken by the RFI Council are final and all members are expected to respect such decision and abide by it.
- Refrain from pubic and open criticism of RFI, its organizational policies / stand on key issues at public platforms, press and media etc.
- * RFI name and logo can be used only incase of joint programmes or with prior approval and authorization by RFI.





Interaction with Media/Government/Any other agencies

- Adherence to RFI Brand policy as laid out in the RFI Brand Manual is mandatory while using the RFI logo.
- Unless authorized, LRAs must not represent themselves as speaking officially on behalf
 of RFI, and should take utmost care to avoid being placed in a position where they
 might be misrepresented as speaking for RFI. Only President/DG are authorized to
 speak on behalf of RFI.
- Safeguard the confidentiality of all information and avoid unauthorized communication of official information received by them by virtue of their position.

Support

RFI may give financial support to LRA as per its rules.

Non Adherence

Any instance of non-adherence to the above Code of Conduct / any other observed unethical behaviour on the part of any LRA will be appropriately investigated and reported to the office bearers and secretariat of the concerned LRA for corrective actions and seeking their formal response. LRA response to be duly considered by the RFI and discussed with them.

Director General - RFI and if necessary, President - RFI, to meet and discuss with LRA to try and resolve the issue. In the absence of corrective actions or lack of co-operation on part of LRA, it needs to be reported and discussed at the RFI Council for necessary actions.

Enforcement:

All matters relating to complaints or violations of the Code shall be referred to a committee- called Ethics Committee formed by RFI Council.

Penalty for breach of Code of Conduct

Penalty may include

- Suspension of LRA and its Members from RFI affiliation/membership;
- Suspension of LRA representative (s) from RFI council;
- Withdrawal of all or part of any financial assistance given as long-term loan by RFI to the LRA over the previous ten years.
- All affiliated LRAs will be informed of violations and disciplinary action taken against the erring LRA;





 dis-affiliation in case of serious violation of Code of Conduct after the approval from Council.

Waivers & Amendments

Any waiver or amendment of any provision of this Code of Conduct can be considered as appropriate from time to time and must be approved in the Council.

3. Use Of RFI Brand (Logo/ Letter Heads/Business Cards Etc:

RFI Logo/Letter Heads/Business Cards etc will be printed only by the RFI Secretariat. Any unauthorized printing/usage of RFI logo/letter head/business card etc for personal/professional work by any Council member, General member or Non-member, would be liable for appropriate action.

Part - II Nominations Committee (for election of Office Bearers of RFI)

It has been felt that it would be good to set out a broad set of guidelines to enable future office bearers to follow a process as well as certain criteria to be used in seeking candidates for the posts of Vice President, Senior Vice President of RFI. The criteria and processes codified here are based on the accumulated experiences within RFI. Some common views expressed by past and active members have also been taken into account. The criteria and processes may be modified while considering candidates by the Nominations Committee. The RFI Council shall periodically review this Note to make it relevant to the circumstances of the time.

Criteria for Nomination

- ➤ The constitutional provision already states that "Any person, who is actively engaged in the management profession or has rendered valuable service to management development in India, can be nominated for the office of the President or Sr Vice President or Vice President."
- ➤ This provision is more inclusive than exclusive and lays emphasis on active engagement in the management profession. It is not so important to determine whether the proposed candidate is a "professional manager" or a "promoter." What is more important is the level of active engagement with the management profession and whether the acceptance of such candidate of RFI Presidentship will bring prestige to RFI, add to RFI's profile, help in fund raising and make RFI a more effective institution.





- ➤ The person concerned should have a generally recognised stature within the management community. Such stature could arise from a number of factors such as his own personal professional standing, his track record of leadership in the organisation, the eminence of the organisation which he represents, his linkages among the management community and academia.
- ➤ The person concerned should be able to devote reasonable organisational and time resources to effectively discharge the role of RFI Presidentship and also to devote time in his two years as Vice-President. The call on him will not be huge and the purpose of writing this is not to quantify the above but merely to suggest that an evaluation of whether the candidate has adequate institutional backing may be informally assessed.
- ➤ Once the Vice President has been selected through the proper process of nomination as outlined in this paper and subsequently elected by the Council, he should automatically be selected as Senior Vice President and thereafter as President, unless there are exceptional circumstances. Therefore, the use of the criteria and processes suggested in this paper become particularly important at the stage of selecting a candidate for Vice President.

Process for Nomination of Office Bearers through Nominations Committee:

- 1. Selection of incoming Vice President will be initiated by the President who is in office. It would be appropriate for the President to form the Nominations Committee which will be Chaired by the Immediate Past President and will comprise of the following 8 members:
- Immediate Past President Chairman of the Nominations Committee
- President
- Senior Vice President
- Vice President
- 4 President of different Zone of the Council, 1 each, representing elected individual and institutional members, nominated by the President
- 2. The Council will be asked for their nominations for the position of the incoming Vice President, well in advance.
- 3. Nominations received by the Council would be then put up to the Nominations Committee who will consider the same along with any other suggested names.
- 4. The Past President nominated by the President from where the Incoming Vice President is to be elected, should first consult with other Past Presidents in the Region and come up with the suggested nomination(s) from the region, which should be shared with the Nominations Committee.



- 5. At least 6 members of the Nominations Committee will need to be present for discussion not necessarily physically but over phone / video conferencing / skype, to complete the quorum
- 6. After consultations, President will make efforts to ensure consensus. However at least 6 (out of 9) members will need to agree to a candidate before the name of the candidate is put up to the Council
- 7. In case the Nominations Committee recommends that there being no suitable candidates, the Region be skipped for the year, the Council to take a final decision on the same. In such a case, the Region skipped for that year, should be given a chance to nominate a candidate the next year.
- 8. The Nominations Committee will be put up the name (s) (if more than one, in no order of priority), to the Council and to discuss with the suggested names and their response would not be minuted.

The Council would then exercise its right to vote.

Conclusion

It is again emphasized that the above are intended to be guidelines. From time to time they can be improved upon by future Office Bearers. It is not possible to be prescriptive in such a matter and certainly every criterion or process may not be met in every case. Ultimately there is no substitute for the statesmanship and wisdom of future Office Bearers.